

Financial Advisory Services RFP Questions and Answers as of April 28, 2014

1. If selected for the pool of IFA financial advisors, is a firm still allowed to be an outside financial advisor to an issuer who chooses to issue their bonds through IFA? For example, if FIRM A has been hired by a private university who would like to issue bonds through IFA and Firm A is included in the pool of IFA FA's, may Firm A bring their client to IFA? There is a presumption that Firm A would not be representing nor getting a fee from IFA in this case. Would appreciate a prompt answer since this RFP is due next Friday? **Currently, this would only require a waiver from the Authority. The Authority's policy could change when Rule G-42 is finalized.**
2. Would IFA be willing to accept a proposal, with the mostly recently completed disclosure form for our firm, while we complete our current survey? **Yes, with the understanding that any award would be contingent on the complete satisfaction of this requirement and with the approval of the updated form prior to contract execution.**
3. Is the Cover Letter included in the 10-page limit? **No.**
4. My firm is responding to the RFP for Financial Advisory Services and we are wondering if the IFA will accept 3 flash drives instead of 3 CDs for the electronic copies of the RFP response. **No.**